Attorney Docket No.: 062535

Amendment under 37 CFR §1.111

**REMARKS** 

Claims 1-24 are pending in the present application. Claim 1 is herein amended.

Applicants request acknowledgement of consideration of the International Search Report

submitted with the Information Disclosure Statement (IDS) filed August 3, 2006 (and cited in the

Office Action) by initialing the box next to the International Search Report on the form

PTO/SB/08 and mailing a copy of the form.

Applicants also request acknowledgement of the claim for foreign priority and receipt of

the priority documents.

Claim Rejections - 35 U.S.C. § 102

Claims 1-24 were rejected under 35 U.S.C. § 102(b) as being anticipated by JP 2001-

201631 (JP '631), JP 2000-352620 (JP '620), JP 2000-159840 (JP '840), JP 2001-337201 (JP

**'201**) and JP 2002-210766 (JP '766).

Favorable reconsideration is requested.

The present invention as recited in the claims relates to a coating material for forming a

coating layer directly on a surface of a transparent film. This material comprises a thermosetting

resin, an inorganic filler, and a mixed solvent. The content of the thermosetting resin is

The mixed solvent contains cyclohexanone. The content of determined specifically.

cyclohexanone with respect to the entire mixed solvent also is determined specifically. The

coating material having such characteristics achieves excellent adhesion to a transparent film

even when the thickness of the coating layer is small. (See specification, paragraph 6.)

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Claim 1 has been amended to recite that coating material is for forming a coating layer

directly on a surface of transparent film.

1. Rejection based on JP '631

Applicants respectfully submit that JP '631 does not teach or suggest a coating material

"for forming a coating layer directly on a surface of a transparent film" as recited in amended

claim 1.

JP '631 relates to a protective film for a polarizer. Paragraphs 130 and 131 of JP '631

describes that a composition A for an intermediate refractive-index layer is applied over a TAC

film. The composition A contains a thermosetting resin, an inorganic filler, and a mixed solvent.

However, paragraph 129 of JP '631 describes that "a composition A shown below for an

intermediate refractive-index layer was applied over a hard layer." In other words, the

composition of JP '631 is applied on a hard coat layer and not directly on the TAC. (See

attached translation of paragraphs 125 and 126.)

2. Rejection based on JP '840

Applicants respectfully submit that JP '840 does not teach or suggest a coating material

"for forming a coating layer directly on a surface of a transparent film" as recited in amended

claim 1.

JP '840 relates to a reflection-preventing material. JP '840 discloses a composition B for

an intermediate refractive-index layer, and the composition B contains a thermosetting resin, an

inorganic filler, and cyclohexanone. However, paragraph 78 of JP '840 describes that "a

composition B shown below for an intermediate refractive-index layer was applied over the hard

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Attorney Docket No.: 062535

Amendment under 37 CFR §1.111

coat layer 6." In other words, this composition is applied on a hard coat layer and not directly on

a TAC. (See attached translation of paragraphs 76 and 77.)

3. Rejection based on JP '620

Applicants respectfully submit that JP '620 does not teach or suggest a coating material

for forming a coating layer directly on a surface of a transparent film, comprising "a mixed

solvent that contains at least two solvents, ... wherein ... the mixed solvent contains

cyclohexanone" as recited in amended claim 1.

JP '620 relates to an optical film. A coating composition 1 for a reflection-preventing

layer is applied on a sample 10 of cellulose acetate produced in Example 2. (See attached

translation of paragraph 304.) This composition 1 contains titanium polymer and silane, but does

not contain cyclohexanone.

4. Rejection based on JP '201

Applicants respectfully submit that JP '201 does not teach or suggest a coating material

for forming a coating layer directly on a surface of a transparent film, comprising "a mixed

solvent that contains at least two solvents, ... wherein ... the mixed solvent contains

cyclohexanone" as recited in amended claim 1.

JP '201 relates to an optical film. A coating composition 1 for a reflection-preventing

layer is applied over a resin film 1. (See attached translation of paragraph 106.) This

composition 1 contains a titanium polymer and silane, but does not contain cyclohexanone.

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Attorney Docket No.: 062535

Amendment under 37 CFR §1.111

5. Rejection based on JP '766

Applicants respectfully submit that JP '766 does not teach or suggest a coating material

for forming a coating layer directly on a surface of a transparent film, comprising "a mixed

solvent that contains at least two solvents, ... wherein ... the mixed solvent contains

cyclohexanone" as recited in amended claim 1.

JP '766 relates to a cellulose ester film. On a clear hard coat layer of a cellulose ester

film 20, (see attached translation of paragraphs 237- 239), a coating composition 1 for a

reflection-preventing layer is applied (see attached translation of paragraph 241.) This

composition 1 contains titanium polymer and silane, but does not contain cyclohexanone.

For at least the foregoing reasons, claim 1 is patentable over the cited references, and

claims 2-24 are patentable by virtue of their dependence from claim 1. Accordingly, withdrawal

of the rejection of claims 1-24 is hereby solicited.

In view of the aforementioned amendments and accompanying remarks, Applicants

submit that the claims, as herein amended, are in condition for allowance. Applicants request

such action at an early date.

If the Examiner believes that this application is not now in condition for allowance, the

Examiner is requested to contact Applicants' undersigned attorney to arrange for an interview to

expedite the disposition of this case.

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Application No.: 10/588,308 Attorney Docket No.: 062535 Amendment under 37 CFR §1.111

If this paper is not timely filed, Applicants respectfully petition for an appropriate extension of time. The fees for such an extension or any other fees that may be due with respect to this paper may be charged to Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

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AGM/adp

Attachments: JP 2001-201631A translation of paragraphs 125, 126 and 129-131

JP 2000-159840A translation of paragraphs 76-78

JP 2001-337201A translation of paragraphs 106 and 107 JP 2002-210766A translation of paragraphs 237-242 JP 2000-352620 A translation of paragraphs 304 and 305



# Partial Translation of JP 2001-201631 A

Publication Date: July 27, 2001 Application No.: 2000-7674

Application Date: January 17, 2000

Applicant: Konica Corp.

(Translation of address omitted)

# 10 Title of the Invention: PROTECTIVE FILM FOR POLARIZER, AND POLARIZER USING THE SAME

#### Translation of paragraphs [0125] and [0126]

[0125]

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15 << Preparation of upper layer liquid (6)>>

Hydroxypropyl methyl cellulose phthalate 0.5 g(produced by Shin-Etsu Chemical Co., Ltd, trade name: HP-55) Conductive material (IP-22) 0.2 gSaponin (produced by Merck Ltd., surfactant) 0.03 gCross-linking agent (glyoxal) 20 0.03 gAcetone 30 ml Methanol 60 ml Isopropyl alcohol 10 ml

#### 25 << Production of protective film sample 12 for polarizer>>

A protective film sample 12 for a polarizer was produced in the same manner as that in the production of the protective film sample 1 for a polarizer except for the following process: instead of a coating material for a curling preventing layer, a composition containing an ultraviolet hardening type resin as shown below was applied so as to become a layer having a dry film thickness of 4  $\mu$ m; the layer was dried at 80°C for 5 minutes, and thereafter placed under a high pressure mercury vapor lamp of 60 W/cm at a distance of 10 cm therefrom for 4 seconds for hardening, whereby a hard coat hardening coat layer was provided.

35 [0126]

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<< Preparation of ultraviolet hardening type resin composition (A)>>

	Dipentaerythritol hexaacrylate monomer	60 g
	Dipentaerythritol hexaacrylate dimer	20 g
	Dipentaerythritol hexaacrylate trimer and polymer gr	eater than trimer
		20 g
5	Diethoxybenzophenone UV initiator	3 g
	Silicone-type surfactant	1 g
	Methyl ethyl ketone	75 g
	Methyl propylene glycol	75 g

A pencil hardness of the hardening coat layer of the protective film sample 12 for a polarizer was measured, and the layer exhibited a hardness of 3 H. Thus, an anti-abrasion effect was exhibited.

### Translation of paragraphs [0129] to [0131] [0129]

A composition A shown below for an intermediate refractive index layer was applied over a hard coat layer and dried at 80°C for 20 minutes. Subsequently, it was irradiated by a high-pressure mercury-vapor lamp of 3 kW at a distance of 25 cm therefrom for 10 seconds for hardening, whereby an intermediate refractive index layer was provided. It should be noted that the intermediate refractive index layer had a thickness of 80 nm, and a refractive index of 1.66. In the present invention, the refractive index measurement was carried out by projecting light having a wavelength of 590 nm with use of an automatic birefringence meter KOBRA-21DH (produced by KS-Systems Inc.) in an environment of 23°C and 55 %RH.

#### [0130]

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	<< Composition A for intermediate refractive index layer>>	
	Titanium tetra·n-butoxide	30 g
	Diethoxybenzophenone (UV initiator)	$0.1~\mathrm{g}$
30	γ·methacryloxy propyl trimethoxysilane	5 g
	Cyclohexanone	1400 g
	Isopropyl alcohol	3500 g

Next, a composition B shown below for a high refractive index layer was applied over the intermediate refractive index layer, and was dried at 80°C for 5 minutes. Subsequently, it was irradiated by a high-pressure

mercury-vapor lamp of 3 kW at a distance of 25 cm therefrom for 10 seconds for hardening, whereby a high refractive-index layer was provided. It should be noted that the high refractive-index layer had a thickness of 85 nm, and a refractive index of 1.90.

5 [0131]

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<<Composition B for high refractive-index layer>>

Titanium tetra-n-butoxide 75 g
Tetraethoxysilane 8.3 g
Surfactant (F-177 produced by Dainippon Ink and Chemicals Inc.)

 $\begin{array}{c} 1\,\mathrm{g} \\ \text{Cyclohexanone} \\ \text{Toluene} \end{array} \hspace{2cm} 2500\,\mathrm{g}$ 

Thereafter, a fluorine-containing monomer composition shown below was applied over the high refractive-index layer, and was dried at 80°C for 5 minutes. Subsequently, it was irradiated by a high-pressure mercury-vapor lamp of 3 kW at a distance of 25 cm therefrom for 10 seconds for hardening, whereby a low refractive-index layer was provided. It should be noted that the high refractive-index layer had a thickness of 90 nm, and a refractive index of 1.37.

### Partial Translation of JP 2000-159840 A

Publication Date: June 13, 2000

Application No.: 5

10(1998)-336193

Application Date:

November 26, 1998

Applicant:

Konica Corp.

(Translation of address omitted)

Title of the Invention: REFLECTION-PREVENTING AGENT, 10

> REFLECTION PREVENTING MATERIAL, PROTECTIVE FILM FOR POLARIZER, AND

**POLARIZER** 

15 Translation of paragraphs [0076] to [0078]

[0076]

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(Example 10)

As a transparent substrate, a cellulose triacetate film (KONICATAC 80UVSF produced by Konica Corporation, thickness: 80 µm) having a acetylation degree of 61.0 % was used. Over one surface of the foregoing cellulose triacetate film 5, the following ultraviolet hardening-type resin composition A was applied, and was dried at 80°C for 5 minutes. Subsequently, the film was irradiated by a high-pressure mercury-vapor lamp of 3 kw at a distance of 25 cm therefrom for 10 seconds for hardening, whereby a hard coat layer 6 was provided. The hard coat layer 6 had a thickness of 3 µm, and a refractive index of 1.52.

[0077]

[Ultraviolet hardening-type resin composition A]

Dipentaerythritol hexaacrylate monomer

60 parts by weight

Dipentaerythritol hexaacrylate dimer

20 parts by weight

Dipentaerythritol hexaacrylate trimer and polymer greater than trimer

20 parts by weight

Diethoxybenzophenone UV initiator

2 parts by weight

Silicone-based surfactant

1 part by weight

Aerosil R-972 (produced by NIPPON AEROSIL Co., Ltd.) 1 part by weight 35

Methyl ethyl ketone

50 parts by weight

Ethyl acetate Isopropyl alcohol

50 parts by weight 50 parts by weight

\* The above composition was subjected to ultrasonic dispersion, while being agitated.

#### [0078]

Next, a composition B shown below for an intermediate refractive index layer was applied over the hard coat layer 6, and was dried at 80°C for 30 minutes. Subsequently, it was irradiated by a high-pressure mercury-vapor lamp of 3 kw at a distance of 25 cm therefrom for 10 seconds for hardening, whereby an intermediate refractive index layer 7 was provided. The intermediate refractive index layer 7 had a thickness of 80 nm, and a refractive index of 1.66.

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[Composition B for intermediate refractive-index layer]

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Titanium tetra-n-butoxide	30 parts by weight
Diethoxybenzophenone UV initiator	0.1 part by weight
γ-methacryloxy propyl trimethoxy silane	5 parts by weight
Cyclohexanone	1400 parts by weight
Isopropyl alcohol	3500 parts by weight

Next, a composition C shown below for a high refractive-index layer was applied over the intermediate refractive-index layer 7, and was dried at 80°C for 5 minutes. Subsequently, it was irradiated by a high-pressure mercury-vapor lamp of 3 kw at a distance of 25 cm therefrom for 10 seconds for hardening, whereby a high refractive-index layer 8 was provided. The high refractive-index layer 8 had a thickness of 85 nm and a refractive index of 1.90.

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# Partial Translation of JP 2001-337201 A

Publication Date:

December 7, 2001

5 Application No.:

2000-386938

Application Date:

December 20, 2000

Applicant:

Konica Corp.

(Translation of address omitted)

10 Title of the Invention: OPTICAL FILM AND LIQUID CRYSTAL DISPLAY

### Translation of paragraphs [0106] and [0107]

[0106]

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<< Production of resin film 12>>

A coating composition 1 shown below for a reflection-preventing layer was applied over a resin film 1 so as to form a layer having a wet film thickness of 10  $\mu$ m, and after drying, ultraviolet light of 300 mJ/cm² was projected thereto (refractive index: 1.82, film thickness: 77 nm). Thereafter, a coating composition 2 shown below for a reflection-preventing layer was applied so as to form a layer having a wet film thickness of 10  $\mu$ m, and dried at 80°C for 30 minutes, whereby a resin film 12 (refractive index: 1.45, film thickness: 90 nm) was produced.

[0107]

(Preparation of coating composition 1 for reflection-preventing layer)

<b>25</b>	Titanium polymer (Nippon Soda Co., Ltd., B-4)	125 g
	Butanol	1200 g
	Isopropyl alcohol	1200 g
	Dipentaerythritol hexaacrylate monomer	3 g
	Dipentaerythritol hexaacrylate dimer	1 g

30 Dipentaerythritol hexaacrylate trimer and polymer greater than trimer

	1 g
Diethoxybenzophenone UV initiator	0.1 g
γ-methacryloxy propyl trimethoxysilane	5 g

(Preparation of coating composition 2 for a reflection-preventing layer)
Tetraethoxysilane hydrolysate \* 180 g

γ·methacryloxy propyl trimethoxysilane Cyclohexanone

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5 g 3200 g

\* Method for preparing tetraethoxysilane hydrolysate

A solution was obtained by adding 380 g of ethanol to 250 g of tetraethoxysilane, and in this solution, an aqueous hydrochloric-acid solution obtained by dissolving 3 g of concentrated hydrochloric acid in 235 g of water was dropped at a slow pace at room temperature. After the dropping, the obtained solution was agitated at room temperature for 3 hours, whereby tetraethoxysilane hydrolysate was prepared.



# Partial Translation of JP 2002-210766 A

Publication Date:

July 30, 2002

5 Application No.:

2001-21527

Application Date:

January 30, 2001

Applicant:

Konica Corp.

(Translation of address omitted)

10 Title of the Invention: METHOD FOR PRODUCING CELLULOSE ESTER

FILM, CELLULOSE ESTER FILM, AND

POLARIZER AND DISPLAY DEVICE USING

CELLULOSE ESTER FILM

15 Translation of paragraphs [0237] to [0242]

[0237]

Example 5

The following composition was prepared.

[0238]

20 << Preparation of coating composition (1) (for producing charge-preventing layer)>>

DIANAL BR-108 (produced by Mitsubishi Rayon Co., Ltd.)

0.5 part by mass

Propylene glycol monomethyl ether

60 parts by mass

Methyl ethyl ketone

20 parts by mass

Ethyl lactate

5 parts by mass

Methanol

8 parts by mass

Conductive polymer resin P-1 (average particle diameter: 0.1 µm)

0.5 part by mass

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<<Pre>reparation of coating composition (2) (for producing clear hard coat
layer)>>

Dipentaerythritol hexaacrylate monomer

60 parts by mass

Dipentaerythritol hexaacrylate dimer

20 parts by mass

35 Dipentaerythritol hexaacrylate trimer and polymer greater than trimer

20 parts by mass

	Dimethoxybenzophenone photoreaction initiator	4 parts by mass
	Ethyl acetate	50 parts by mass
	Methyl ethyl ketone	50 parts by mass
	Isopropyl alcohol	50 parts by mass
5		
	<< Preparation of coating composition (3) (for produc	cing glare-proof layer>>
	Ethyl acetate	50 parts by mass
	Methyl ethyl ketone	50 parts by mass
	Isopropyl alcohol	50 parts by mass
10	SYLYSIA 431 (average particle diameter: 2.5 μm (pr	coduced by Fuji Silysia
	Chemical Ltd.)	5 parts by mass
•	Aerosil R972V (average particle diameter: 16 nm (pa	roduced by NIPPON
	AEROSIL Co., Ltd.))	2 parts by mass
15	The foregoing composition was agitated by a high-sp	peed agitator (TK
	homomixer produced by Tokushu Kika Kogyo Co., L	td.), and thereafter
	dispersed by a collision-type dispersing machine (M	IANTON-GOLIN、
	produced by Golin Co., Ltd.). Then, the following c	omposition was added
	thereto:	
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	Dipentaerythritol hexaacrylate monomer	60 parts by mass
	Dipentaerythritol hexaacrylate dimer	20 parts by mass
	Dipentaerythritol hexaacrylate trimer and polymer	
		20 parts by mass
25	Dimethoxybenzophenone photoreaction initiator	4 parts by mass
	<< Preparation of coating composition (4) (for produc	•
	Acetone	32 parts by mass
	Ethyl acetate	50 parts by mass
30	Isopropyl alcohol	4 parts by mass
	Diacetyl cellulose	0.5 part by mass
	Ultra-fine particle silica (Aerosil R972V)-2% acetone	e dispersion liquid
	(produced by NIPPON AEROSIL Co., Ltd.)	0.1 part by mass

one surface of the cellulose acetate propionate film produced in Example 3,

The coating composition (4) was extruded so as to be applied over

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so that a layer formed had a wet film thickness of 13 µm, and the layer thus formed was dried at a drying temperature of 80°C. On the other surface of the cellulose acetate propionate film, the coating composition (1) was applied, by wire-bar coating at a transfer rate of 30 m/min, so that a layer formed had a wet film thickness of 9  $\mu$ m, and subsequently, the layer thus formed was dried in a drying section set to 80°C, whereby a resin layer having a dry film thickness of about 0.1 µm. Subsequently, the coating composition (2) was applied by gravure coating so as to have a wet film thickness of 13 µm, dried in a drying section set to 80°C, and thereafter irradiated with ultraviolet light of 120 mJ/cm<sup>2</sup> for hardening, whereby a resin layer having a dry film thickness of 5 µm was provided. referred to as a cellulose ester film 20 of the present invention. [0240] A cellulose acetate propionate film was produced in the same manner except that the coating composition (2) was replaced with the coating composition (3), i.e., produced by applying the coating composition (1), the coating composition (3), and the coating composition (4). referred to as a cellulose ester film 21 of the present invention. [0241] A coating composition 1 shown below for a reflection-preventing layer was applied over the clear hard coat layer of the cellulose ester film 20 of the present invention so that a layer thus formed had a wet film thickness of 10 µm, and after drying, the layer was irradiated with ultraviolet light of 300 mJ/cm<sup>2</sup> (refractive index: 1.82, film thickness: 77 nm). Thereafter, a coating composition 2 shown below for a reflection-preventing layer was applied thereon so that a layer formed had a wet film thickness of 10 µm, and was dried at 80°C for 30 minutes (refractive index: 1.45, film thickness: 90 nm). Thus, a cellulose ester film 22 of the present invention was produced. A cellulose ester film 23 of the present invention was formed in the same manner except that the cellulose ester film 21 of the present invention was used in place of the cellulose ester film 20 of the present invention, by applying coating compositions 1 and 2 for reflection-preventing layers over the glare-proof layer. [0242]<< Preparation of coating composition 1 for reflection-preventing layer>>

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Titanium polymer (condensation product of tetrabutoxytitanium produced by Nippon Soda Co., Ltd.: B-4)

125 g
n-butanol

1200 g

	Isopropyl alcohol	1200 g	
	Dipentaerythritol hexaacrylate monomer	3 g	
	Dipentaerythritol hexaacrylate dimer	1 g	
	Dipentaerythritol hexaacrylate trimer and polymer greater than trimer		
5		1 g	
	Diethoxybenzophenone UV initiator	0.1 g	
	γ-methacryloxy propyl trimethoxysilane	5 g	
	<< Preparation of coating composition 2 for a reflection-preventing layer>		
10	Tetraethoxysilane hydrolysate*	180 g	
	γ-methacryloxy propyl trimethoxysilane	5 g	
	Cyclohexanone	$3200~\mathrm{g}$	

\* Method for preparing tetraethoxysilane hydrolysate

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A solution was obtained by adding 380 g of ethanol to 250 g of tetraethoxysilane, and in this solution, an aqueous hydrochloric-acid solution obtained by dissolving 3 g of concentrated hydrochloric acid in 235 g of water was dropped at a slow pace at room temperature. After the dropping, the obtained solution was agitated at room temperature for 3 hours, whereby tetraethoxysilane hydrolysate was prepared.

#### Partial Translation of JP 2000-352620 A

Publication Date: December 19, 2000

Application No.: 5

2000-88783

Application Date:

March 28, 2000

Applicant:

Konica Corp.

(Translation of address omitted)

#### 10 Title of the Invention: OPTICAL FILM, POLARIZER, AND LIQUID CRYSTAL DISPLAY DEVICE

### Translation of paragraphs [0304] and [0305]

[0304]

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(Production of resin film 10E) 15

> A coating composition 1 shown below for a reflection preventing layer was applied over a sample 10 so as to form a layer having a wet film thickness of 10 µm, and after drying, ultraviolet light of 300 mJ/cm<sup>2</sup> was projected thereto (refractive index: 1.82, film thickness: 77 nm). Thereafter, a coating composition 2 shown below for a reflection-preventing layer was applied so as to have a wet film thickness of 10 µm, and dried at 80°C for 30 minutes, whereby a resin film 10E (refractive index: 1.45, film thickness: 90 nm) was produced. This resin film 10E is referred to as a sample 10E. A resin film 10F was produced in the same manner by applying the coating compositions 1 and 2 shown below for a reflection-preventing layer, except that a sample 10C was used in place of the sample 10. This resin film 10F is referred to as a sample 10F.

[0305]

·Coating composition 1 for reflection-preventing layer

30	Titanium polymer (Nippon Soda Co., Ltd.: B-4)	$125~\mathrm{g}$
	Butanol	1200 g
	Isopropyl alcohol	1200 g
	Dipentaerythritol hexaacrylate monomer	3 g
	Dipentaerythritol hexaacrylate dimer	1 g
35	Dipentaerythritol hexaacrylate trimer or polymer greater than trim	

1 g

	Diethoxybenzophenone UV initiator	$0.1~\mathrm{g}$
	γ-methacryloxy propyl trimethoxysilane	5 g
	·Coating composition 2 for a reflection-preventing layer	
5	Tetraethoxysilane hydrolysate*	180 g
	γ-methacryloxy propyl trimethoxysilane	5 g
	Cyclohexanone	3200 g

\* Method for preparing tetraethoxysilane hydrolysate

10

15

A solution was obtained by adding 380 g of ethanol to 250 g of tetraethoxysilane, and in this solution, an aqueous hydrochloric-acid solution obtained by dissolving 3 g of concentrated hydrochloric acid in 235 g of water was dropped at a slow pace at room temperature. After the dropping, the obtained solution was agitated at room temperature for three hours, whereby tetraethoxysilane hydrolysate was prepared.